

# Shadow removal

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Warsaw, Poland

A recent technique used by several results:

- MULTICUT [Marx and Razgon STOC 2011]
- Clustering problems [Lokshtanov and Marx ICALP 2011]
- DIRECTED MULTIWAY CUT [Chitnis, Hajiaghayi, Marx SODA 2012]
- DIRECTED MULTICUT in DAGs [Kratsch, Pilipczuk, Pilipczuk, Wahlström ICALP 2012]
- DIRECTED SUBSET FEEDBACK VERTEX SET [Chitnis, C., Hajiaghayi, Marx ICALP 2012]
- PARITY MULTIWAY CUT [Lokshtanov, Ramanujan ICALP 2012]

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- 1 understand the statement of shadow removal theorem,
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Two toy problems:  $(p, q)$ -CLUSTERING,  
DIRECTED MULTIWAY CUT.

# Clustering

We want to partition objects into clusters subject to certain requirements (typically: related objects are clustered together, bounds on the number or size of the clusters etc.)

## $(p, q)$ -CLUSTERING

**Input:** A graph  $G$ , integers  $p, q$ .

**Find:** A partition  $(V_1, \dots, V_m)$  of  $V(G)$  such that for every  $i$

- $|V_i| \leq p$  and
- $d(V_i) \leq q$ .

$d(V_i)$ : number of edges leaving  $V_i$ .

Theorem [Lokshtanov and Marx 2011]

$(p, q)$ -CLUSTERING can be solved in time  $2^{O(q)} \cdot n^{O(1)}$ .

# A sufficient and necessary condition

**Good cluster:** size at most  $p$  and at most  $q$  edges leaving it.

**Necessary condition:**

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Every vertex is contained in a good cluster.

But surprisingly, this is also a **sufficient condition!**

Lemma

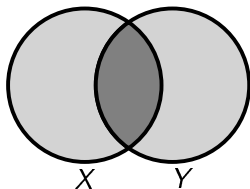
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**Proof:** Find a collection of good clusters covering every vertex and having minimum total size. Suppose two clusters intersect.



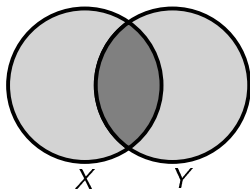


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$$d(X) + d(Y) \geq d(X \setminus Y) + d(Y \setminus X)$$

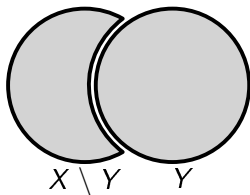
$\Rightarrow$  either  $d(X) \geq d(X \setminus Y)$  or  $d(Y) \geq d(Y \setminus X)$  holds.

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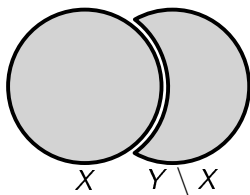
If  $d(X) \geq d(X \setminus Y)$ , replace  $X$  with  $X \setminus Y$ , strictly decreasing the total size of the clusters.

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If  $d(Y) \geq d(Y \setminus X)$ , replace  $Y$  with  $Y \setminus X$ , strictly decreasing the total size of the clusters.

QED ■

# Finding a good cluster

We have seen:

## Lemma

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All we have to do is to check if a given vertex  $v$  is in a good cluster. Trivial to do in time  $n^{O(q)}$ .

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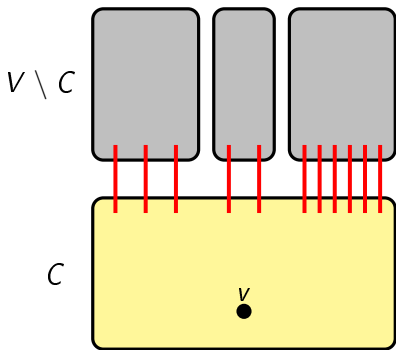
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It is enough to prove:

## Lemma

We can check in time  $2^{O(q)} \cdot n^{O(1)}$  if  $v$  is in a good cluster.



Let  $C \subseteq V$ ,  $v \in C$  be a good cluster, i.e.  $|C| \leq p$ ,  
 $|E(C, V \setminus C)| \leq q$ .

The shadow of  $S = E(C, V \setminus C)$  is  $V \setminus C$  (gray vertices,  $v$  as a light source).

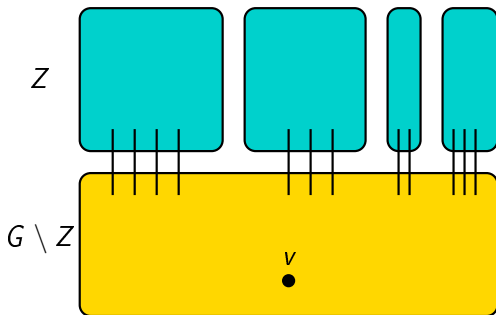
**Good cluster:** size at most  $p$  and at most  $q$  edges leaving it.

## Theorem

In  $2^{O(q)} \cdot n^{O(1)}$  time, we can compute a set  $Z \subseteq V$  with the following property. If  $v \in V$  is contained in a good cluster, then with probability at least  $2^{-O(q)}$  there is good cluster  $C \subseteq V$ ,  $v \in C$ , such that

- $V \setminus C \subseteq Z$  (the shadow is covered by  $Z$ ) and
- no edge of  $E(C, V \setminus C)$  is contained in  $Z$ .

# Finding good clusters

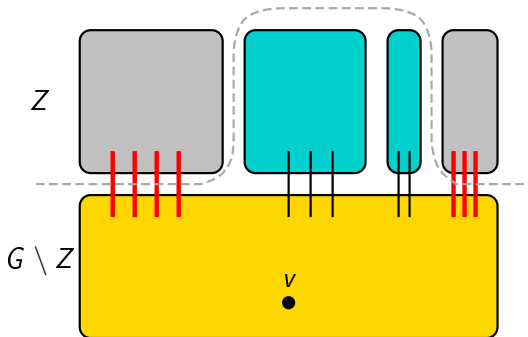


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Where are the edges of  $E(C, V \setminus C)$ ? Where is the good cluster?



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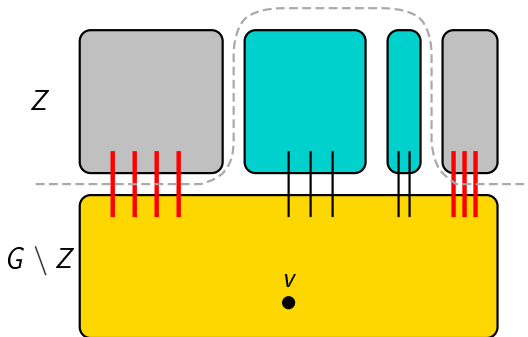


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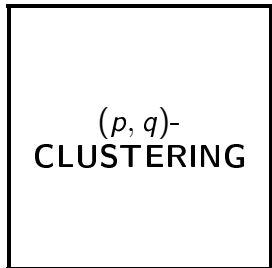


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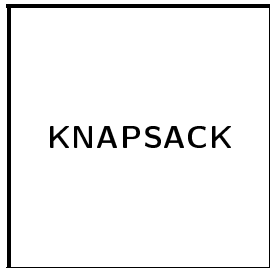
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**KNAPSACK!**



Random set  $Z$   
success probability:

$$2^{-O(q)}$$



Polynomial time

# Transversal problems

Let  $G$  be a graph and let  $\mathcal{F}$  be a set of subgraphs in  $G$ .

## Definition

**$\mathcal{F}$ -transversal**: a set of edges or vertices intersecting each subgraph in  $\mathcal{F}$  (i.e., “hitting” or “killing” every object in  $\mathcal{F}$ ).

Classical problems formulated as finding a minimum transversal:

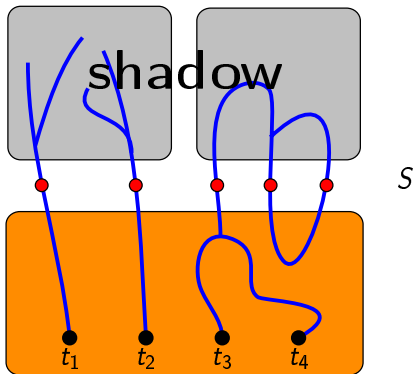
- $s - t$  CUT:  
 $\mathcal{F}$  is the set of  $s - t$  paths.
- MULTIWAY CUT:  
 $\mathcal{F}$  is the set of paths between terminals.
- (DIRECTED) FEEDBACK VERTEX SET:  
 $\mathcal{F}$  is the set of (directed) cycles.
- Delete edges/vertices to make the graph bipartite:  
 $\mathcal{F}$  is the set of odd cycles.

# Randomized sampling of important separators

- MULTICUT [Marx and Razgon STOC 2011]
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# The setting

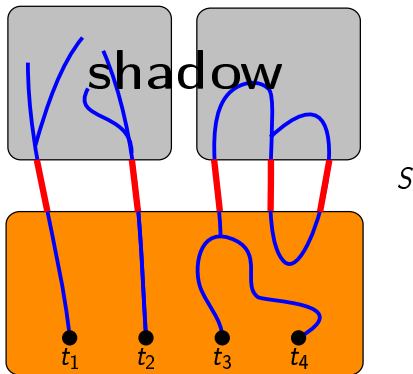
Let  $\mathcal{F}$  be a set of **connected** (not necessarily disjoint!) subgraphs, each **intersecting** a set  $T$  of vertices.



The **shadow** of an  $\mathcal{F}$ -transversal  $S$  is the set of vertices not reachable from  $T$  in  $G \setminus S$ .

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# The random sampling (undirected edge version)

**Shadow:** Set of vertices not reachable in  $G \setminus S$ .

**Condition:** every  $F \in \mathcal{F}$  is **connected** and **intersects**  $T$ .

## Theorem

In  $2^{O(k)} \cdot n^{O(1)}$  time, we can compute a set  $Z$  with the following property. If there exists an  $\mathcal{F}$ -transversal of at most  $k$  edges, then with probability  $2^{-O(k)}$  there is a minimum  $\mathcal{F}$ -transversal  $S$  with

- the shadow of  $S$  is covered by  $Z$  and
- no edge of  $S$  is contained in  $Z$ .

**Note:** The algorithm **does not** have to know  $\mathcal{F}$ !

How do we apply it to  $(p, q)$ -CLUSTERING?



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- no edge of  $S$  is contained in  $Z$ .

**Now:**

- $T = \{v\}$ ,  $k = q$ ,
- $\mathcal{F}$  contains every tree going through  $v$  having  $> p$  vertices

## (DIRECTED) MULTIWAY CUT

**Input:** Graph  $G$ , set of vertices  $T$ , integer  $k$

**Find:** A set  $S$  of at most  $k$  vertices such that  $G \setminus S$  has no (directed)  $t_1 - t_2$  path for any  $t_1, t_2 \in T$

The undirected version is fairly well understood: best known algorithm solves it in time  $2^k \cdot n^{O(1)}$

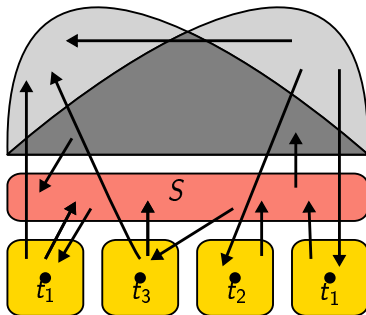
Theorem [Chitnis, Hajiaghayi, Marx 2012]

DIRECTED MULTIWAY CUT is FPT.

Can be formulated as minimum  $\mathcal{F}$ -transversal, where  $\mathcal{F}$  is the set of directed paths between vertices of  $T$ .

# Directed Multiway Cut

**Shadow:** those vertices of  $G \setminus S$  that cannot be reached from  $T$   
**AND** those vertices of  $G \setminus S$  from which  $T$  cannot be reached.



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**Condition:** for every  $F \in \mathcal{F}$  and every vertex  $v \in F$ , there is a  $T \rightarrow v$  and a  $v \rightarrow T$  path in  $F$ .

## Theorem

In  $f(k) \cdot n^{O(1)}$  time, we can compute a set  $Z$  with the following property. If there exists an  $\mathcal{F}$ -transversal of at most  $k$  vertices, then with probability  $2^{-O(k^2)}$  there is a minimum  $\mathcal{F}$ -transversal  $S$  with

- the shadow of  $S$  is covered by  $Z$  and
- $S \cap Z = \emptyset$ .

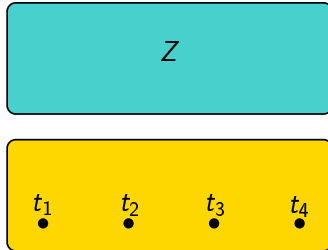
**Now:**

- $T$ : terminals
- $\mathcal{F}$  contains every directed path between two distinct terminals

# Shadow removal

We can assume that  $Z$  is disjoint from the solution, so we want to get rid of  $Z$ .

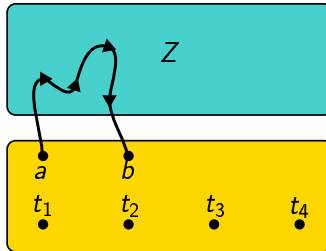
- Deleting  $Z$  is not a good idea: can make the problem easier.
- To compensate deleting  $Z$ , if there is an  $a \rightarrow b$  path with internal vertices in  $Z$ , add a direct  $a \rightarrow b$  edge.



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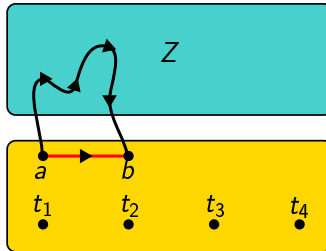
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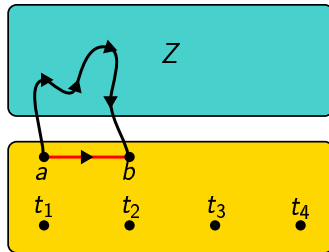
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## Crucial observation:

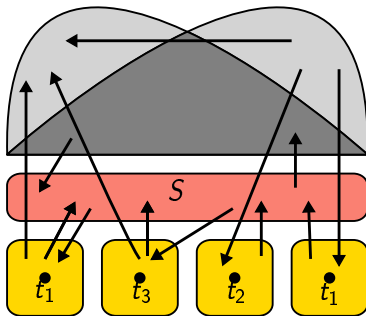
$S$  remains a solution (since  $Z$  is disjoint from  $S$ ) and

$S$  is a **shadowless solution** (since  $Z$  covers the shadow of  $S$ ).



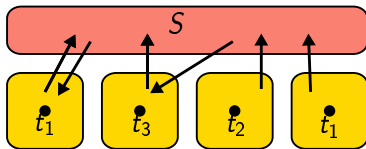
# Shadowless solutions

How does a shadowless solution look like?



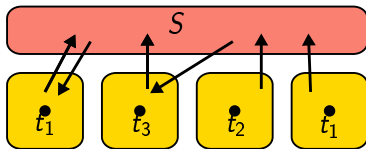
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It is an undirected multiway cut in the underlying undirected graph!  
 $\Rightarrow$  **Problem can be reduced to undirected multiway cut.**

# DIRECTED MULTIWAY CUT

DIRECTED  
MULTIWAY  
CUT

Random set  $Z$   
success probability:

$$2^{-O(k^2)}$$



UNDIRECTED  
MULTIWAY  
CUT

$2^k \cdot n^{O(1)}$  time

## Conclusion

The shadow removal lemma for  $\mathcal{F}$ -transversal unifies the random sampling of important separators step in the known algorithms for: MULTICUT,  $(p, q)$ -CLUSTERING, DIRECTED MULTIWAY CUT, DIRECTED MULTICUT in DAGs, DIRECTED SUBSET FEEDBACK VERTEX SET.

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Thank you for your attention.  
Questions?