

# Meta-Kernelization with Structural Parameters

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The aim of meta-kernelization is to obtain polykernels for *large classes of problems*.

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## Theorem

*Let  $\mathcal{P} \subseteq G \times \mathbb{N}$  have finite integer index and let either  $\mathcal{P}$  or  $\bar{\mathcal{P}}$  be quasi-compact. Then  $\mathcal{P}$  admits a linear kernel.*

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- Both of these examples use the *solution size* as the parameter.
- But what if the solution size is large? Can we use *structural parameters*?

- Strong evidence that many FPT graph problems parameterized by tree-width or clique-width are **highly unlikely to admit polykernels**.
- Use of weaker structural parameters for individual problems: vertex cover number, max-leaf number, neighborhood diversity...
- Little known about meta-kernelization with structural parameters (until recently)

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## Theorem

*Every **MSO optimization problem**, parameterized by the  **$\mathcal{C}$ -cover number** of the input graph, has a polynomial bikernel with a linear number of vertices.*

- Polykernels for DOMINATING SET, (CONNECTED) VERTEX COVER, FEEDBACK VERTEX SET and many others, even if the solution size is huge.



## The language

- vertex variables  $x, y, z, \dots$
- vertex set variables  $X, Y, Z, \dots$
- logic connectives  $\vee, \wedge, \rightarrow, \dots$
- quantification over (sets of) vertices  $\forall v \in X, \exists Z, \dots$
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## Expressible properties

- 3-colorability, independent dominating set, ...
- $\alpha \equiv \forall X \exists y \in X \exists z \notin X : \text{edge}(z, y)$  (connectivity)

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### Definition ( $MSO\text{-}MC_\phi$ )

*Instance:* A graph  $G$ .

*Question:* Does  $G \models \phi$  hold?

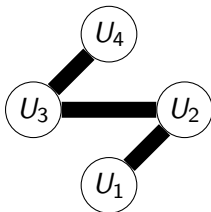
# Sketching the parameter: $\mathcal{C}$ -covers

- For a graph class  $\mathcal{C}$ , let a  $\mathcal{C}$ -cover of a graph  $G$  be a partition of the vertex set into modules\*  $\{U_1, \dots, U_k\}$  where each module induces a subgraph which belongs to  $\mathcal{C}$ .

\* *All vertices in  $U_i$  have the same neighborhood outside of  $U_i$ .*

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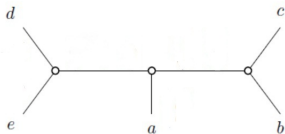
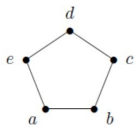
- The  $\mathcal{C}$ -cover number is then the size of a smallest  $\mathcal{C}$ -cover of  $G$ .

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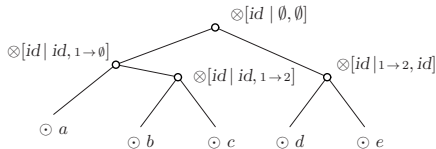
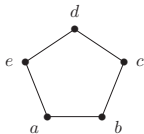
# Rank-width covers

## Rank-width:

- Definition fairly technical + we won't need it much



A rank-decomposition of  $C_5$  (width 2).



A 2-labeling parse tree of  $C_5$ .

## Rank-width:

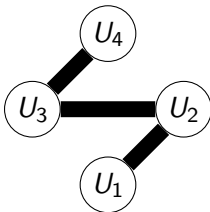
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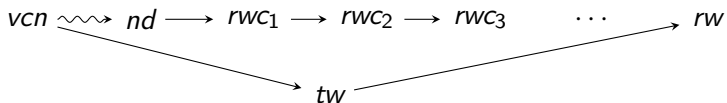
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- $d$  is a constant in our setting (we can have rank-width-1 covers, rank-width-2 covers etc.)
- The value of  $d$  allows us to **scale** the parameter to our needs: a larger  $d$  may reduce the size of our kernels at the cost of higher constants in the runtime.

# Rank-width covers: where do they fit?



Relationship between graph invariants:

- vertex cover number ( $vcn$ ),
- neighborhood diversity ( $nd$ ),
- rank-width- $d$  cover number ( $rwc_d$ ),
- rank-width ( $rw$ ),
- and treewidth ( $tw$ ).

$A \rightarrow B$  indicates that any graph class where  $A$  is bounded also has bounded  $B$ .

## Theorem

*A smallest rank-width- $d$  cover of a graph can be computed in polynomial time.*

- Not possible for any of the popular parameters (FPT at best).
- Otherwise we would need to receive a rank-width- $d$  cover from an oracle (as is the case with clique-width and clique-decompositions).
- Note: The smallest rank-width- $d$  cover is unique for each  $d$ .

# Finding rank-width covers: Proof overview

## Definition

For two vertices  $a, b \in V(G)$ , let  $a \sim_d b$  iff there is a module  $M$  in  $G$  containing  $a, b$  such that  $rw(G[M]) \leq d$ .

## Proposition

$\sim_d$  is an equivalence relation, and each equivalence class of  $\sim_d$  is a module of  $G$  with rank-width at most  $d$ .

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- How to get transitivity:  $a \sim_d b$  and  $b \sim_d c$  implies the existence of two modules with  $rw \leq d$  intersecting in  $b$ .

# Finding rank-width covers: Proof overview II

## Proposition

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Corollary: the equivalence classes of  $\sim_d$  form a smallest rank-width- $d$  cover of  $G$ .

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## Proposition

Let  $d \in \mathbb{N}$  be a constant. Given a graph  $G$  and two vertices  $v, w \in V(G)$ , we can decide whether  $v \sim_d w$  in polynomial time.

- We use modular decompositions to compute the unique inclusion-minimal module  $M$  containing  $v, w$  in quadratic time\*.
- Rank-width is closed under induced subgraphs, so  $v \sim_d w$  iff  $rw(G[M]) \leq d$ , which can be checked in cubic time.

\* *Bui-Xuan, Habib, Limouzy and Montgolfier, DAM 2009.*



A  $t$ -labeled graph  $\bar{G}$  is a graph together with a labeling  $lab : V \rightarrow 2^{\{1, \dots, t\}}$ . For now, assume  $t = 1$ ; then the join operator  $\bar{G} \otimes \bar{H}$  does the following:

- 1 makes a disjoint union of the graphs,
- 2 adds edges between  $v \in G, w \in H$  which both had the label 1.

Note: rank-width may be defined as the “minimum number of labels necessary to construct the graph using  $\otimes$  and relabeling”.

# Intermezzo: MSO model checking on rank-width

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## Definition (Canonical equivalence)

Let  $\phi$  be an MSO formula. The  $t$ -labeled graphs  $\bar{G}_1$  and  $\bar{G}_2$  are *canonically equivalent*, in symbols  $\bar{G}_1 \approx_{\phi, t} \bar{G}_2$ , if for all  $t$ -labeled graphs  $\bar{H}$  we have  $(\bar{G}_1 \otimes \bar{H}) \models \phi \Leftrightarrow (\bar{G}_2 \otimes \bar{H}) \models \phi$ .

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## Theorem (MSO-MC on rank-width\*)

Let  $t \geq 1$  be fixed, and  $\phi$  fixed MSO formula. Then the following holds.

- 1  $\approx_{\phi,t}$  has finite index in the universe of  $t$ -labeled graphs.
- 2 For any  $t$ -labeled input graph  $\bar{G}$  of rank-width at most  $t$ , it is possible to compute the equiv. class  $[\bar{G}]$  w.r.t.  $\approx_{\phi,t}$  in polynomial time through a leaves-to-root tree automaton.

\* Ganian and Hliněný, DAM 2010.

# Obtaining small representatives

Recall that each  $U_i$  has rank-width at most  $d$  (a constant).  
Let  $\bar{U}_i$  be the graph  $U_i$  with every vertex labeled by  $\{1\}$ .

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allows us to compute  $[\bar{U}_i]$  in polytime.

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Furthermore,

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Intuitive idea:

- The number of equivalence classes does not depend on the input, just on  $d$  and  $\phi$ .
- Each equivalence class has some representative of minimum size, and this also depends only on  $d$  and  $\phi$ .
- Brute force can be used to iteratively process all graphs with  $1, 2, \dots$  vertices until a representative is found for each equivalence class.

## Theorem

*Every MSO model checking problem, parameterized by the rank-width- $d$  cover number of the input graph, admits a linear kernel.*

Let  $G$  be the input graph. To obtain the kernel:

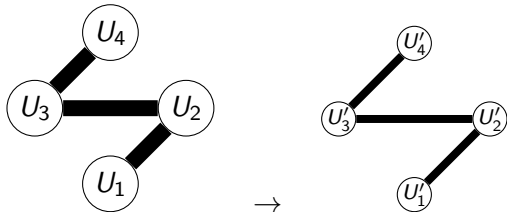
- 1 compute the rank-width- $d$  cover  $\{U_1, \dots, U_k\}$  in polytime,
- 2 compute each equivalence class  $[\bar{U}_i]$  in polytime,
- 3 obtain  $G'$  from  $G$  by replacing each  $U_i$  by the representative of  $[\bar{U}_i]$ ,
- 4 output  $G'$  (without the labels).



# Kernels for MSO model checking

## Theorem

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The size of each  $U'_i$  is constant, and their number is  $k$ .

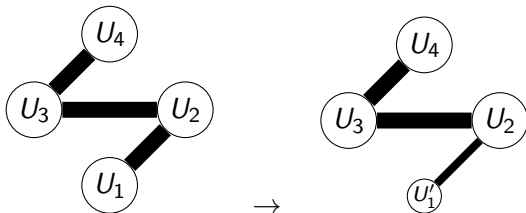
## Why does it work?

Let  $G_0 = G$  and  $G_1$  be the graph obtained by replacing  $U_1$  by  $U'_1$ . Since  $\bar{U}_1 \approx_{\phi,t} \bar{U}'_1$ , we have that

$$\bar{U}_1 \otimes \bar{H} \models \phi \text{ iff } \bar{U}'_1 \otimes \bar{H} \models \phi$$

We may choose  $\bar{H}$  to be the 1-labeling of  $\bar{G} - U_1$  which produces  $G_0$  and  $G_1$  from  $\bar{U}_1 \otimes \bar{H}$  and  $\bar{U}'_1 \otimes \bar{H}$  respectively, and thus

$$G_0 \models \phi \text{ iff } G_1 \models \phi$$



# MSO optimization problems

- MSO formulas can only directly capture decision problems such as 3-colorability.
- Several authors\* have extended the expressive power of MSO logic to also capture optimization problems.

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Let  $\phi$  be a fixed MSO formula with one free set variable and  $\diamond \in \{\geq, \leq\}$ . Then:

## Definition (MSO- $\text{OPT}_{\phi}^{\diamond}$ )

*Instance:* A graph  $G$  and an integer  $r \in \mathbb{N}$ .

*Question:* Is there a set  $A \subseteq V(G)$  such that  $G \models \phi(A)$  and  $|A| \diamond r$ .

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Our results may be straightforwardly extended to minimize/maximize linear expressions such as  $2|A| - 9.5|B| \dots$

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Can we use the same approach to get a polykernel for  
 $\text{MSO-OPT}_{\phi}^{\diamond}$ ?

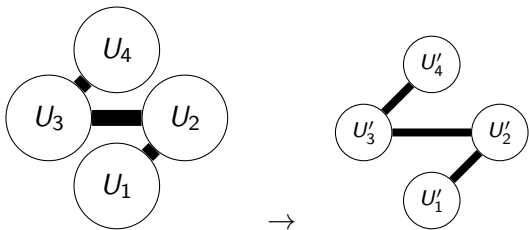
Can we use the same approach to get a polykernel for  $\text{MSO-OPT}_\phi^\diamond$ ?

**Obstacle no. 1:** Instead of simply deciding  $G \models \phi$ , we now need to deal with a graph together with some specific vertex-subset  $A$  (we call such graphs *equipped*).

- Luckily, the “MSO-MC on rank-width” theorem extends nicely from closed formulas to formulas with free variables.
- The canonical equivalence for  $\phi(A)$  has finite index on all equipped labeled graphs (of bounded rank-width).

# Kernels for MSO optimization problems – Naive idea

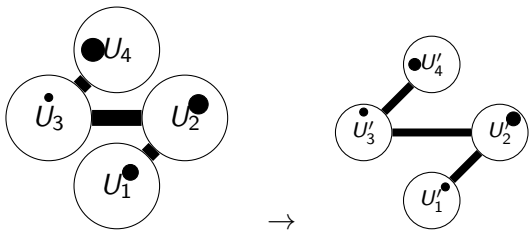
This allows us to replace each  $U_i$  by a constant-size representative  $U'_i$  such that they are equivalent for *all possible equipments of A*.





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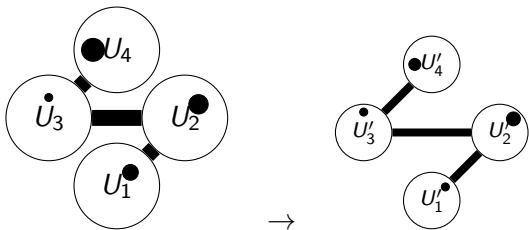
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For each  $A$  in  $G$  we would have an  $A'$  in  $G'$  such that  $G \models \phi(A)$  iff  $G' \models \phi(A')$ .

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But how does this help us decide whether  $|A| \diamond r$ ?

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- This can be solved by storing the optimal original size of  $A$  which corresponds to each equipment  $A'$  in each  $U'_i$ .
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- We solve this final obstacle by comparing  $2^k$  and  $n$ .
- If  $k \geq \log n$ , then the  $\log n$  bits necessary to store our annotation still “fit” inside our annotated kernel.
- If  $k < \log n$ , then we use brute force in our “kernel” to solve the problem outright in polytime (and then replace it with a trivial yes/no instance for the kernel).

## Why choose rank-width?

- it is the most general known parameter which can be computed efficiently (in FPT time) and handles MSO.

## Can these results be extended to $\text{MSO}_2$ with tree-width?

- Not directly, due to the unbounded number of edges between modules.
- If we destroy the modular structure, then it is not clear how to compute the cover.

Thank you for your attention.

